

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.

First Name, Last Name, or Application Identifier

Christof Cavadini et al,

Express Mail Label No: # EM103918903US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification [Total Pages 20 ]  
2. ☒ Drawing(s) (35USC 113) [Total Pages 1 ]  
3. ☒ Declaration and Power of Attorney [Total Pages 2 ]

- a. ☒ Newly executed(original of copy)  
b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14 completed)

[Note Box 4 Below]

- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
Inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)  
6. ☐ Letter under 37 CFR 1.41(c).  
7. ☐ English Translation Document (if applicable)  
8. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations  
9. ☐ Preliminary Amendment  
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
11. ☐ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired  
12. ☒ Certified Copy of Priority Document(s) European Nos.  
97200047.5, filed January 9, 1997 and No. 97200830.4  
filed March 19, 1997.  
13. ☐ Other: \_\_\_\_\_

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No: \_\_\_\_\_/\_\_\_\_\_

CLAIMS AS FILED

CLAIMS FEE				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$790.00
TOTAL CLAIMS 20	20			
INDEPENDENT CLAIMS 3	4	1	\$82.00	\$82.00
	ANY MULTIPLE DEPENDENT CLAIMS? (YES) (X) NO			
			TOTAL FILING FEE ->	\$872.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 08-2290. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$872.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS

HILL, STEADMAN & SIMPSON  
A Professional Corporation  
233 South Wacker Drive - 85<sup>th</sup> Floor Sears Tower  
Chicago, Illinois 60606  
Telephone (312) 876-0200 - Fax (312) 876-0898  
CUSTOMER NO: 36-3002441

SIGNATURE: \_\_\_\_\_  
1060:1190

DATE: December 23, 1997

U-11

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P97,2946

First Named Inventor or Application Identifier

Christof Cavadini et al,

Express Mail Label No: # EM103918903US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
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JC135 U.S. PTO  
08/19/97  
12/23/97

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CUSTOMER NO: 36-3002441

SIGNATURE: \_\_\_\_\_  
1060:1190

DATE: December 23, 1997

U-11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: C. Cavadini et al. ATTY DOCKET NO.:P97,2946

SERIAL NO.: Unknown

DATE FILED: December 23, 1997

INVENTION: "CEREAL PRODUCT CONTAINING PROBIOTICS"


Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

Sir:

I hereby certify that the following documents relating to the above-identified application were deposited in the United States Postal Service Express Mail "Post Office to Addressee" on December 23, 1997:

1. Specification (20 pages)
2. Drawings (1 page, 2 figs.)
3. Executed Declaration and Power of Attorney; and
4. Priority Document (European Application No. 97200047.5); and
5. Information Disclosure Statement (w/5 references); and
6. Check in the amount of \$\_\_\_\_\_.

  
\_\_\_\_\_  
Signature of person mailing Express Mail

\_\_\_\_\_  
December 23, 1997

Express Mail Label No. EM103918903US

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